PATENT Docket No.: 12523/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Kenichi Senda, et al.

Serial No.

: 10/533,381

Filed

: April 29, 2005

For

: AQUEOUS DISPERSION OF BIODEGRADABLE POLYESTER

AND PROCESS FOR PREPARING THE SAME

Group Art Unit

: Unassigned

Examiner

: Unassigned

10533381

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

SIR:

In response to the Notification of Missing Requirements mailed April 3, 2006 in the above-identified application, submitted herewith are a Declaration/Power of Attorney duly signed by the inventors and a copy of the Notification.

The authorization to charge the \$130.00 fee to Deposit Account No. 11-0600 has been provided in an attached transmittal letter.

06/07/2006 LLANDGRA 00000051 110600

Respectfully submitted,

01 FC:1617

130.00 DA

KENYON & KENYON LLP

Date: June 2, 2006

Cassandra T. Swain, Ph.D.

Reg. No. 48,361

Kenyon & Kenyon LLP Suite 700 1500 K Street, NW Washington, DC 20005 (202) 220-4200 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TO ANOMITTAL	Complete if Known			
FEE TRANSMITTAL	Application Number	10/533,381		
for FY 2006	Filing Date	April 29, 2005		
	First Named Inventor	Kenichi SENDA, et al.		
Effective 10/01/2004. Patent fees are subject to annual revision.	Examiner Name	Unassigned		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	Unassigned		
TOTAL AMOUNT OF PAYMENT (\$) 130.00	Attorney Docket No.	12523/9		

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
		3. ADDITIONAL FEES							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large	Entity	Small E	intity				
☑ Deposit Account:									
Deposit			1	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee	Paid
Account	11-0600			1051	130	2051	65	Surcharge - late filing fee or oath	130
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit		1053	130	1053	130	Non-English specification			
Name	Account Kenyon & Kenyon			1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
The Director is authorized to: (check all that apply)			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
			1805	1,840°	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-ident	fied deposit acc	count.		1251	120	2251	60	Extension for reply within first month	
	FEE CA	ALCULATION		1252	450	2252	2225	Extension for reply within second month	
1. BASIÇ F	LING FEE			1253	1,020	2253	510	Extension for reply within third month	
Large Entity	Small Entity			1254	1,590	2254	795	Extension for reply within fourth month	
		Fee Description Fee Paid		1255	2,160	2255	1,080	Extension for reply within fifth month	
	3 ode (\$) 001 395 l	Utility filing fee	-	1401	500	2401	250	Notice of Appeal	
		Design filing fee	-	1402	500	2402	225	Filing a brief in support of an appeal	
		Plant filing fee	-	1403	300	2403	150	Request for oral hearing	
		Reissue filing fee	7	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2		Provisional filling fee	7	1452	110	2452	55	Petition to revive – unavoidable	
		1453	1,370	2453	685	Petition to revive – unintentional			
SUBTOTAL (1) (\$) 0			1501	1,370	2501	685	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1502	490	2502	245	Design issue fee		
		Fee from		1503	660	2503	330	Plant issue fee	
Total Claims	Ext = [tra Claims below Fee Pa	<u>"</u>	1460	130	1460	130	Petitions to the Commissioner	
Independent	=		=	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3 ** =	X 88 =		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x = 0		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Small Entity Fee Fee		_	1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code (\$)	Fee Description		1810	790	2810	395	For each additional invention to be	
1202 18	2202 9	Claims in excess of 20						examined (37 CFR § 1.129(b))	
1201 88 1203 300	2201 44 2203 150	Independent daims in excess of 3		1801	790	2801	395	Request for Continued Examination (RCE)	
		 Multiple dependent claim, if not paid ** Reissue independent claims over 		1802	900	1802	900	Request for expedited examination	\vdash
1204 88	2204 44	original patent		1002	300	1302	550	of a design application	
1205 18	2205 9	** Reissue daims in excess of 20 an over original patent	d ¬	Other fee (specify)					
SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Paid				aid SUBTOTAL (3) (\$) 130	\				
**or number previously paid, if greater; For Reissues, see above			L				333101AE (3) (3) 130	,	

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Cassandra T. Swain, Ph.D.	Registration No. (Afforney/Agent)	48,361	Telephone	202.220.4200	
Signature	Cassadia	- I Swa		Date	June 2, 2006	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/533,381 Kenichi Senda 12523/9

INTERNATIONAL APPLICATION NO.

PCT/JP03/14092

23838 KENYON & KENYON LLP 1500 K STREET N.W. SUITE 700 WASHINGTON, DC 20005 I.A. FILING DATE PRIORITY DATE
11/05/2003 11/08/2002

CONFIRMATION NO. 7060
371 FORMALITIES LETTER
OC000000017149179

Date Mailed: 04/03/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/29/2005
- English Translation of the IA filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Preliminary Amendments filed on 04/29/2005
- Information Disclosure Statements filed on 04/29/2005
- Copy of references cited in ISR filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
 missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,381	PCT/JP03/14092	12523/9

FORM PCT/DO/EO/905 (371 Formalities Notice)